07-09-01

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket Number	500615.20142
First Inventor or Application Identifier	*Tadashi Takeda*
Title	OPTICAL HEAD DEVICE
Express Mail Label No.	EL 915668780 US

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ *Fee Transmittal Form (e.g., PTO/SB/17)*
   (Submit an original, and a duplicate for fee processing)

2. ☒ Specification [*Total Pages 18*]
   (preferred arrangement set forth below)
   -Descriptive title of the Invention
   -Cross References to Related Applications
   -Statement Regarding Fed sponsored R & D
   -Reference to Microfiche Appendix
   -Background of the Invention
   -Brief Summary of the Invention
   -Brief Description of the Drawings *(if filed)*
   -Detailed Description
   -Claim(s)
   -Abstract of the Disclosure

3. ☒ Formal Drawing(s) *(35 USC 113)* [*Total Sheets 7*]

4. ☒ Oath or Declaration [*Total Pages 2*]
   a. ☐ Newly executed (original or copy)
   b. ☐ Copy from a prior application (37 CFR 1.63(d))
      (for continuation/divisional with Box 16 completed)
      i. ☐ DELETION OF INVENTOR(S)
         Signed statement attached deleting inventor(s) named in the prior application, see §§ 37 CFR §1.63(d)(2) and 1.33(b)
   c. ☒ Unsigned

   NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

5. ☐ Microfiche Computer Program *(Appendix)*

6. Nucleotide and/or Amino Acid Sequence Submission
   (if applicable, all necessary)
   a. ☐ Computer Readable Copy
   b. ☐ Paper Copy (identical to computer copy)
   c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR § 3.73(b) Statement ☒ Power of Attorney *(when there is an assignee)*
9. ☐ English Translation Document *(if applicable)*
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copy of IDS Citation
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
13. ☐ Applicant Claims Small Entity Status
14. ☒ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
15. ☐ Other:

16. If a CONTINUING APPLICATION, *check appropriate box and supply the requisite information below and in a preliminary amendment:*
   ☐ Continuation   ☐ Divisional   ☐ Continuation-in-part (CIP)   of prior application No. ____/____
   Prior application information: Examiner ____   Group/Art Unit: ____
   For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label *(Insert Customer No. or Attach bar code label here)*   or ☒ Correspondence address below

NAME	Gerald H. Kiel, Esq.
	Reed Smith LLP
ADDRESS	375 Park Ave, 17th Floor

CITY	New York	STATE	NY	ZIP CODE	10152
COUNTRY	US	TELEPHONE	212-521-5400	FAX	212-521-5450

Name (Print/Type)	Gerald H. Kiel, Esq.	Registration No. (Attorney/Agent)	25,116
Signature	*Gerald H. Kiel*	Date	July 6, 2001

FEE TRANSMITTAL for FY 2000

Application No.	Unknown	Filing Date:	July 6, 2001
First Named Inventor	Tadashi Takeda	Group Art Unit:	Unknown
Examiner Name:	Unknown	Attorney Docket No.	500615.20142

METHOD OF PAYMENT (Check one)

1. ☒ **Payment Enclosed:** ☒ Check ☐ Money Order ☐ Other

2. ☐ The Director is hereby authorized to charge indicated fees to:

2a. ☒ Charge any <u>additional</u> fee required under 37 CFR 1.16 and 1.17 and and credit any over payments to:

Deposit Account Number	50-1529
Deposit Account Name	Reed Smith, LLP

FEE CALCULATION (fees effective 10/1/00)

1. BASIC FILING FEE

Large Entity Fee Code	($)	Small Entity Fee Code	($)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
				SUBTOTAL (1)	($)710
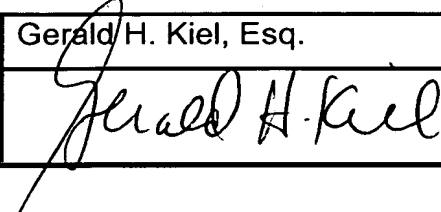
2. EXTRA CLAIM FEES

	No. of Claims		Extra Claims	Fee from Below		Fee Paid
Total Claims	7	-20	0	x 18		0
Independent Claims	1	-3	0	x 80		0
X Multiple Dependent Claims			0	x 270	=	0
**or number previously paid, if greater; For Reissues, see below				**SUBTOTAL (2)**	($)	0

Large Entity Fee Code	($)	Small Entity Fee Code	($)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

Large Entity Fee Code	($)	Small Entity Fee Code	($)	Fee Description	Fee Paid
581	40	581	40	Recording each patent assignment per property (times number of properties).	$ 0
				Other:	
				SUBTOTAL (3)	$ 0

SUBMITTED BY			Complete (if applicable)	
Typed or Printed Name	Gerald H. Kiel, Esq.	Date: July 6, 2001	Reg. Number	25,116
Signature	*[signature]*		Deposit Account User ID	50-1529

Certificate of Mailing by "Express Mail"

__EL 915668780 US__
"Express Mail" label number

__July 6, 2001__
Date of Deposit

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and is addressed to the Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

[signature]

Signature of person mailing correspondence

Ruth Montalvo
Typed or printed name of person mailing correspondence

Note: Each paper must have its own certificate of mailing by "Express Mail".